

AMS KYC Policy

By collecting and reviewing the information in completed KYC forms, Asset Management Services (UK) Ltd commits to

- establishing the identity of our counterparties
- checking that our counterparties are not considered high-risk
- maintaining an understanding of the nature and legitimacy of all our counterparties' businesses
- maintaining KYC records for at least five years
- maintaining records of all single or linked transactions
- we have implemented a no cash policy. Bank Transfers only
- the customer has an established bank account
- Monitoring transactions for unusual or suspicious activity – this type of activity will cause the counterparty to be considered high-risk.

If counterparties are considered high-risk for any reason (for example named on a sanctions list or engaging in unusual activity) we may:

- Cease trading with the counterparty
- Identify the beneficial owners of the counterparty and check whether the beneficial owners are on any watchlists or sanctions list
- Make an on-site visit to the high-risk counterparty.